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For

#### **NEC VERSION 4.2**

#### **Between**

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and			
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## **Customer Screening**

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Nuclear Controls Checklist			
Is your facility involved in any of the following activities?			
Nuclear explosive activities.			
Research on or development, design, manufacture, construction, testing or maintenance of any nuclear explosive device or components or subsystems of such a device?	Yes ☐ No ☐		
Are you a U.S. person involved in support activities 1where you know that the items will be used in the design, development, production, or use of nuclear explosive devices?	Yes ☐ No ☐		
Support activities include financing, transportation, and freight forwarding and any action by which you facilitate an export, reexport, or transfer in any other way without being the actual exporter or reexporter.			
<u>Unsafeguarded <sup>2</sup>nuclear activities</u> .  Research on, or development, design, manufacture, construction, operation, or maintenance of:			
A nuclear reactor?	Yes ☐ No ☐		
A critical facility?	Yes ☐ No ☐		
A facility for the fabrication of nuclear fuel?	Yes No		
A facility for the conversion of nuclear material from one form to another?	Yes No		
A storage installation?	Yes □ No □		
<sup>2</sup> Unsafeguarded means that there is no obligation on the part of the country to accept International Atomic Energy Agency (IAEA) safeguards at the relevant facility or installation that contains any source or special fissionable material (regardless of whether or not it contains such material at the time of export) or where any such obligation is not met.			
<u>Safeguarded and unsafeguarded nuclear activities</u> .  Nuclear fuel cycle activities, including research on development, design, manufacture, construction, operation or maintenance of any of the following facilities:			
Facilities for the chemical processing of irradiated special nuclear or source material?	Yes □No □		
Facilities for the production of heavy water?	Yes □No □		
Facilities for the separation of isotopes of source and special nuclear material?	Yes □No □		
Facilities for the fabrication of nuclear reactor fuel containing plutonium?	Yes □No □		
Missile Technology Checklist			
Will the item(s) requested for export be used in the design, development, production or use of missiles?	Yes □No □		
Is your facility involved in any activities involving the development of missiles?	Yes □No □		
Does your facility have any direct or indirect assistance in the design, fabrication, operation or maintenance of rocket systems (including ballistic missile systems, space launch vehicles and sounding rockets) or in unmanned air vehicles systems (including cruise missile systems, target drones, remotely piloted vehicles and reconnaissance drones)?	Yes □No □		
Chemical & Biological Weapons Checklist			
Will the item(s) requested for export be used in the design, development, production, stockpiling, or use of chemical or biological weapons?	Yes □No □		
Would you refuse Lawrence Livermore National Laboratory personnel access to parts of the facility other than those involved in the contract?	Yes □No □		

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